



ifw  
2164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re: Patent Application of )  
Kenneth C. Griffin )  
Serial No.: 10/044,519 )  
Filed: January 11, 2002 )  
Title: METHOD AND APPARATUS FOR )  
MANUFACTURING A UNIFORM NET ASSET PER )  
SHARE OR UNIT )  
Art Unit: 2164111 )  
Examiner: Hani M. Kazini )

) I hereby certify that this correspondence is being deposited  
) with the U.S. Postal Service with sufficient postage as first  
) class mail in an envelope addressed to: Commissioner for  
) Patents, MAIL STOP \_\_\_\_\_,  
) P.O. Box 1450, Alexandria, VA 22313-1450 on

July 26, 2005

*Patricia A. Merrill*  
Patricia A. Merrill

TRANSMITTAL OF REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Enclosed is an executed Revocation and Power of Attorney and a Statement Under 37 CFR 3.73(b) transferring responsibility for the above-referenced patent application to Jones Day.

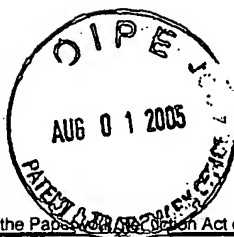
Respectfully submitted,

*Ronald A. Sandler*

Ronald A. Sandler  
Registration No. 23,066

JONES DAY  
77 West Wacker Drive  
Chicago, Illinois 60601-1692  
(312) 269-4352

Date: July 26, 2005



PTO/SB/82 (04-05)

Approved for use through 11/30/2005. OMB 0651-0035

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/044519
Filing Date	January 11, 2002
First Named Inventor	Kenneth C. Griffin
Art Unit	2164
Examiner Name	Hani M. Kazini
Attorney Docket Number	630768600024

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 28104

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

28104

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
City		State		Zip	
Country					
Telephone			Email		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature			
Name	Matthew Hinerfeld, Managing Director & Deputy General Counsel		
Date	7/15/05	Telephone	312-395-2100

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of one forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Citadel Investment Group, LLC

Application No./Patent No.: 10/044519 Filed/Issue Date: January 11, 2002

Entitled: METHOD AND APPARATUS FOR MAINTAINING A UNIFORM NET ASSET VALUE PER SHARE OR UNIT

Citadel Investments Group, LLC, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012509, Frame 0290, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Matthew Hinerfeld  
Signature

7/15/05  
Date

Matthew Hinerfeld

312-395-2100

Printed or Typed Name

Telephone Number

Managing Director & Deputy General Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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